Express Mail No.: EV 60: 556845 US

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a value of the paper work and the paper which it is not a collection of the paper which it is not a collection of the paper which it is not a collection of the paper which it is not a collection of the paper which is not a collection of the paper which is not a collection of the paper which it is not a collection of the paper which is not

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
<b>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>

16.

18.

A power of attorney and/or change of address letter.

ATTORNEY'S DOCKET NUMBER

P139-US-01 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2003/040880 22 December 2003 20 December 2002 TITLE OF INVENTION Systems and Methods of Sample Processing and Temperature Control APPLICANT(S) FOR DO/EO/US Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher, Benno Guggenheimer, Robert Clark, Michael Barber, and Bob Lathrop Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). ~ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 1 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 10. l Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Other items or information: a firm letter of transmittal; a Certificate of Express Mailing for each document; a return postcard

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

Page 1 of 2

JC17 Rec'd PCT/PTO

JC17 Rec'd PC/PIV L FTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO: (if-known see 37(CF) 1.5)		INTERNATIONAL AF	INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
1	0/99990-	PCT/US2003/04088	)	P139-US-01		
The follow	ving fees have been submitted				PTO USE ONLY	
21. Pasic national fee\$300			\$ 300.00			
22.  Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)				\$ 200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority				\$ 100.00		
TOTAL OF 21, 22 and 23 =			\$ 600.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets		additional 50 or fraction p to a whole number)	RATE	·		
- 100 =	/50 =		x \$250	\$ 0.00		
Surcharge of \$130. claimed priority date	00 for furnishing the oath or declar e (37 CFR 1.492(h)).	ration later than 30 months fi	om the earliest	\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$		
Total claims	54 - 20 =	34	x \$ 50	\$ 1,700.00		
Independent claims	2 -3=	0	x \$200	\$ 0.00		
MULTIPLE DEPEN	DENT CLAIM(S) (if applicable)		+ \$360	\$ 0.00		
		TOTAL OF ABOVE	CALCULATIONS =	\$ 2,300.00		
Applicant claim	s small entity status. See 37 CFR	1.27. Fees above are reduc	ed by 1/2.			
			SUBTOTAL =	\$ 2,300.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			hs from the earliest +	\$		
TOTAL NATIONAL FEE =			\$ 2,300.00			
	ne enclosed assignment (37 CFR 1 over sheet (37 CFR 3.28, 3.31). \$		st be accompanied +	\$ 0.00		
		TOTAL F	EES ENCLOSED =	\$ 2,300.00		
			·	Amount to be refunded:	\$	
0				Amount to be charged:	\$	
a. A check i	n the amount of \$ 2,300.00	to cover the abo	ve fees is enclosed.			
	narge my Deposit Account No te copy of this sheet is enclosed.		to co	ver the above fees.		
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No A duplicate copy of this sheet is enclosed.						
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.						
SEND ALL CORRE	•	Farrand autor	N1000 1	7	110.	
Nicole A. Ressue SIGNATURE			Renue, Jr	AND I'I KOOT		
125 South Howes, Third Floor Nicole A. Re			ssue			
Fort Collins, CO 80521				· · · · · · · · · · · · · · · · · · ·		
(970) 224-3100 USPTO Reg.			No.: 48,665			
(970) 224-3175 - Facsimile REGISTRATI			ON NUMBER			

10 1539562 Express Mail No: EV 603556845 US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number:

Filed:

Applicants:

Kristopher Buchanan, Marc Key, John Favuzzi, Rosanne Welcher,

Benno Guggenheimer, Robert Clark, Michael Barber, and Bob

Lathrop

Title:

Systems and Methods of Sample Processing and Temperature Control

International Application No: PCT/US2003/040880, Filed 22 December 2003

Original US Applications:

60/435,601, Filed 20 December 2002

TC/A.U:

Examiner:

Assignee:

DakoCytomation Denmark A/S

Attorney Docket:

P139 US 01

Customer No.

33549

### LETTER OF TRANSMITTAL

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Sir:

Enclosed for filing is an application for patent entitled "Systems and Methods of Sample Processing and Temperature Control" including the following documents:

- 1. Application Data Sheet;
- 2. Preliminary Amendment;
- a Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (FORM PTO-1390 (02/2005));
- 4. a true and correct copy of the Declaration for Patent Application as executed in the international application, along with a cover sheet;

### JC17 Rec'd PCT/PTO 17 JUN 2005

- 5. Power of Attorney;
- 6. Information Disclosure Statement along with Foreign patent or patent publication references and Other references in accordance with the Office's waiver of the requirement under Rule 1.98(a)(2)(ii);
- 7. this Letter of Transmittal along with a Check payable to the Director of the Patent and Trademark Office in the amount of \$2,300.00; and
- 8. Express Mail Certificates for each document and a return postcard.

Please confirm receipt of the documents by applying your date stamp and serial number on the enclosed postcard and returning it to me.

Please address all future correspondence to: Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, CO 80521.

I have this day of June, 2005, either myself personally or through my direction of staff at this office, deposited all of the items in the above letter of transmittal with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

Dated this  $\boxed{1}$  day of June, 2005.

Respectfully Submitted, SANTANGELO LAW OFFICES, P.C.

By: Millow O. Pinu

Nicole A. Ressue

Attorney for Assignee

PTO No. 48,665

125 South Howes, Third Floor

Fort Collins, CO 80521

(970) 224-3100

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Attorney Docket:

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### **CERTIFICATE OF EXPRESS MAILING**

I, Cheryl A. Swanson, hereby certify to the truth of the following items:

- 1. I am an employee of Santangelo Law Offices, P.C., 125 South Howes, Third Floor, Fort Collins, Colorado 80521.
- 2. I have this day deposited the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (FORM PTO-1390 (REV 02-2005)) with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

Cheryla. Sevanson

JC17 Rec'd PCT/PTO 1 7 JUN 2005 Express Mail No: EV 603556845 US

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Dated this  $\iint$  day of June, 2005.

### JC17 Rec'd PCT/PTO 1 7JUN 2005

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Application Nun	

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DAUMINION.

Assignee:

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Dated this 17 day of June, 2005.

## JC17 Rec'd PCT/PTO 178 JUN 2005

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IN THE UNITED STATES PATENT AND	
TRADEMARK OFFICE	

Application Number:

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Applicants:

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#### **COVER SHEET\***

#### **FOR**

### A TRUE AND CORRECT COPY OF THE

### **DECLARATION FOR PATENT APPLICATION**

### AS EXECUTED IN THE INTERNATIONAL APPLICATION

\* This Cover Sheet is provided for the convenience of Patent Office personnel, to indicate the new caption and title and thus minimize the risk of loss in the event documents are separated from the file.

## JC17 Rec'd PCT/PTO 17 JUN 2005

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Application Number:

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- 2. I have this day deposited the attached Declaration of Inventorship along with a cover sheet with the United States Postal Service as "Express Mail" for mailing to: Mail Stop PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Dated this 17 day of June, 2005.

<u>Cheryla-Swanson</u> Cheryl A. Swanson

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